

KMS States on Groupoid C^* -Algebras

Rafael Lima

2 November 2020

Introduction

In this talk we will introduce:

- ▶ C^* -algebras
- ▶ groupoids and their C^* -algebras

Introduction

In this talk we will introduce:

- ▶ C^* -algebras
- ▶ groupoids and their C^* -algebras

We will see an example of how topological properties of the groupoid help us understand the C^* -algebra.

Introduction

In this talk we will introduce:

- ▶ C^* -algebras
- ▶ groupoids and their C^* -algebras

We will see an example of how topological properties of the groupoid help us understand the C^* -algebra.

- ▶ Neshveyev gives a formula for the KMS states on groupoid C^* -algebras

History of C^* -algebras

The theory of C^* -algebras was developed from the study of quantum mechanics.

1942-
early 1945 **Heisenberg**
 (matrix mechanics)

History of C^* -algebras

The theory of C^* -algebras was developed from the study of quantum mechanics.

1942-
early 1945 **Heisenberg**
 (matrix mechanics)
 \mathcal{H} : a Hilbert space

History of C^* -algebras

The theory of C^* -algebras was developed from the study of quantum mechanics.

1942-
early 1945 **Heisenberg**
 (matrix mechanics)
 \mathcal{H} : a Hilbert space
 $\psi \in \mathcal{H}$: state

History of C^* -algebras

The theory of C^* -algebras was developed from the study of quantum mechanics.

1942-
early 1945 **Heisenberg**
 (matrix mechanics)
 \mathcal{H} : a Hilbert space
 $\psi \in \mathcal{H}$: state
 p_i : momentum of a particle
 q_i : position of a particle

History of C^* -algebras

The theory of C^* -algebras was developed from the study of quantum mechanics.

1942-
early 1945 **Heisenberg**
(matrix mechanics)
 \mathcal{H} : a Hilbert space
 $\psi \in \mathcal{H}$: state
 p_i : momentum of a particle
 q_i : position of a particle
 p_i, q_i are **operators on \mathcal{H}**

History of C^* -algebras

The theory of C^* -algebras was developed from the study of quantum mechanics.

1942-
early 1945

Heisenberg

(matrix mechanics)

\mathcal{H} : a Hilbert space

$\psi \in \mathcal{H}$: state

p_i : momentum of a particle

q_i : position of a particle

p_i, q_i are **operators on \mathcal{H}**

Schrödinger

(wave mechanics)

History of C^* -algebras

The theory of C^* -algebras was developed from the study of quantum mechanics.

1942-
early 1945

Heisenberg

(matrix mechanics)

\mathcal{H} : a Hilbert space

$\psi \in \mathcal{H}$: state

p_i : momentum of a particle

q_i : position of a particle

p_i, q_i are operators on \mathcal{H}

Schrödinger

(wave mechanics)

the state is a function ψ satisfying the Schrödinger equation

History of C^* -algebras

The theory of C^* -algebras was developed from the study of quantum mechanics.

1942-

Heisenberg

early 1945

(matrix mechanics)

\mathcal{H} : a Hilbert space

$\psi \in \mathcal{H}$: state

p_i : momentum of a particle

q_i : position of a particle

p_i, q_i are **operators on \mathcal{H}**

Schrödinger

(wave mechanics)

the state is a function ψ satisfying the Schrödinger equation

late 1920s-

Stone and von Neumann clarified the connection between

early 1930s

the formalisms above

History of C^* -algebras

The theory of C^* -algebras was developed from the study of quantum mechanics.

1942-

Heisenberg

early 1945

(matrix mechanics)

\mathcal{H} : a Hilbert space

$\psi \in \mathcal{H}$: state

p_i : momentum of a particle

q_i : position of a particle

p_i, q_i are **operators on \mathcal{H}**

Schrödinger

(wave mechanics)

the state is a function ψ satisfying the Schrödinger equation

late 1920s-

Stone and von Neumann clarified the connection between the formalisms above

early 1930s

1943

Gelfand and Naimark characterised C^* -algebras

History of C^* -algebras

Since then, the subject of C^* -algebras has evolved into a huge mathematical endeavour interacting with several areas of mathematics and theoretical physics.

C*-algebras

Intuitively, C*-algebras are similar to $M_n(\mathbb{C})$ or $B(\mathcal{H})$.

C*-algebras

Intuitively, C*-algebras are similar to $M_n(\mathbb{C})$ or $B(\mathcal{H})$.

Let A be a C*-algebra. Given $a, b \in \mathbb{C}$ and $\lambda \in \mathbb{C}$, we have

C*-algebras

Intuitively, C*-algebras are similar to $M_n(\mathbb{C})$ or $B(\mathcal{H})$.

Let A be a C*-algebra. Given $a, b \in \mathbb{C}$ and $\lambda \in \mathbb{C}$, we have

(i) $a + \lambda b \in A$, $ab \in A$, etc.

A is an algebra

C*-algebras

Intuitively, C*-algebras are similar to $M_n(\mathbb{C})$ or $B(\mathcal{H})$.

Let A be a C*-algebra. Given $a, b \in A$ and $\lambda \in \mathbb{C}$, we have

(i) $a + \lambda b \in A$, $ab \in A$, etc.

A is an algebra

(ii) A has an involution $a \mapsto a^*$ with

A is a *-algebra

$$(\lambda a)^* = \bar{\lambda} a^*, \quad (ab)^* = b^* a^*, \quad (a^*)^* = a$$

C*-algebras

Intuitively, C*-algebras are similar to $M_n(\mathbb{C})$ or $B(\mathcal{H})$.

Let A be a C*-algebra. Given $a, b \in A$ and $\lambda \in \mathbb{C}$, we have

(i) $a + \lambda b \in A$, $ab \in A$, etc.

A is an algebra

(ii) A has an involution $a \mapsto a^*$ with

A is a *-algebra

$$(\lambda a)^* = \bar{\lambda} a^*, \quad (ab)^* = b^* a^*, \quad (a^*)^* = a$$

(iii) $\|a^*\| = \|a\|$, $\|ab\| \leq \|a\| \|b\|$

C*-algebras

Intuitively, C*-algebras are similar to $M_n(\mathbb{C})$ or $B(\mathcal{H})$.

Let A be a C*-algebra. Given $a, b \in A$ and $\lambda \in \mathbb{C}$, we have

(i) $a + \lambda b \in A$, $ab \in A$, etc.

A is an algebra

(ii) A has an involution $a \mapsto a^*$ with

A is a *-algebra

$$(\lambda a)^* = \bar{\lambda} a^*, \quad (ab)^* = b^* a^*, \quad (a^*)^* = a$$

(iii) $\|a^*\| = \|a\|$, $\|ab\| \leq \|a\| \|b\|$

(iv) A is complete

C*-algebras

Intuitively, C*-algebras are similar to $M_n(\mathbb{C})$ or $B(\mathcal{H})$.

Let A be a C*-algebra. Given $a, b \in A$ and $\lambda \in \mathbb{C}$, we have

(i) $a + \lambda b \in A$, $ab \in A$, etc.

A is an algebra

(ii) A has an involution $a \mapsto a^*$ with

A is a *-algebra

$$(\lambda a)^* = \bar{\lambda} a^*, \quad (ab)^* = b^* a^*, \quad (a^*)^* = a$$

(iii) $\|a^*\| = \|a\|$, $\|ab\| \leq \|a\| \|b\|$

(iv) A is complete

(v) $\|a^* a\| = \|a\|^2$.

A is a C*-algebra

C^* -algebras

Examples

- ▶ \mathbb{C} , with $z^* = \bar{z}$

C^* -algebras

Examples

- ▶ \mathbb{C} , with $z^* = \bar{z}$
- ▶ $A = M_2(\mathbb{C})$

$$a = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \Rightarrow a^* = \begin{pmatrix} \overline{a_{11}} & \overline{a_{21}} \\ \overline{a_{12}} & \overline{a_{22}} \end{pmatrix}.$$

C*-algebras

Examples

- ▶ \mathbb{C} , with $z^* = \bar{z}$
- ▶ $A = M_2(\mathbb{C})$

$$a = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \Rightarrow a^* = \begin{pmatrix} \overline{a_{11}} & \overline{a_{21}} \\ \overline{a_{12}} & \overline{a_{22}} \end{pmatrix}.$$

- ▶ $C(X)$ for a compact Hausdorff space X .

$$(f_1 f_2)(x) = f_1(x) f_2(x), \quad f^*(x) = \overline{f(x)}, \quad \|f\| = \sup_{x \in X} |f(x)|.$$

C*-algebras

Examples

- ▶ \mathbb{C} , with $z^* = \bar{z}$
- ▶ $A = M_2(\mathbb{C})$

$$a = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \Rightarrow a^* = \begin{pmatrix} \overline{a_{11}} & \overline{a_{21}} \\ \overline{a_{12}} & \overline{a_{22}} \end{pmatrix}.$$

- ▶ $C(X)$ for a compact Hausdorff space X .

$$(f_1 f_2)(x) = f_1(x) f_2(x), \quad f^*(x) = \overline{f(x)}, \quad \|f\| = \sup_{x \in X} |f(x)|.$$

- ▶ $B(\mathcal{H})$, the algebra of bounded operators on a Hilbert space \mathcal{H} .

$$\langle Tx, y \rangle = \langle x, T^*y \rangle, \quad \|T\| = \sup_{\|z\| \leq 1} \|Tz\|.$$

Groupoids

A *groupoid* generalises the idea of groups, but

Groupoids

A *groupoid* generalises the idea of groups, but

- ▶ the unit is not necessarily unique, and

Groupoids

A *groupoid* generalises the idea of groups, but

- ▶ the unit is not necessarily unique, and
- ▶ the product may not be defined for some pairs of elements.

Groupoids

A *groupoid* generalises the idea of groups, but

- ▶ the unit is not necessarily unique, and
- ▶ the product may not be defined for some pairs of elements.

We can understand a groupoid as a set of arrows connecting points in the space.

Groupoids

Idea

x

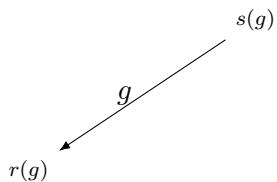
y

z

- ▶ G : groupoid
- ▶ $G^{(0)} \subset G$: units

Groupoids

Idea

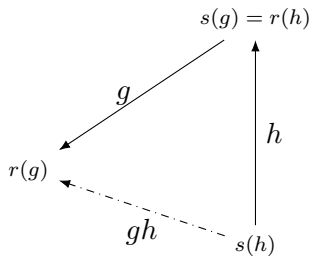


$$z = r(z) = s(z)$$

- ▶ G : groupoid
- ▶ $G^{(0)} \subset G$: units
- ▶ $r, s : G \rightarrow G^{(0)}$

Groupoids

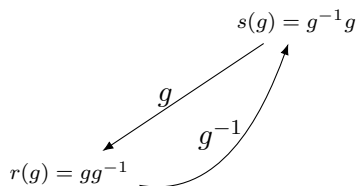
Idea



- ▶ G : groupoid
- ▶ $G^{(0)} \subset G$: units
- ▶ $r, s : G \rightarrow G^{(0)}$
- ▶ $G^{(2)} \rightarrow G$
 $(g, h) \mapsto gh$

Groupoids

Idea



- ▶ G : groupoid
- ▶ $G^{(0)} \subset G$: units
- ▶ $r, s : G \rightarrow G^{(0)}$
- ▶ $G^{(2)} \rightarrow G$
 $(g, h) \mapsto gh$
- ▶ $g \mapsto g^{-1}$

Groupoids

Examples

Let $G = \mathbb{R}^2 \times \mathrm{GL}_2(\mathbb{R})$,

Groupoids

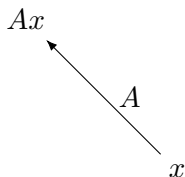
Examples

Let $G = \mathbb{R}^2 \times \mathrm{GL}_2(\mathbb{R})$, and $G^{(0)} = \{(x, I) : x \in \mathbb{R}^2\}$.

Groupoids

Examples

Let $G = \mathbb{R}^2 \times \mathrm{GL}_2(\mathbb{R})$, and $G^{(0)} = \{(x, I) : x \in \mathbb{R}^2\}$.



Groupoids

Examples

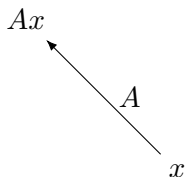
Let $G = \mathbb{R}^2 \times \mathrm{GL}_2(\mathbb{R})$, and $G^{(0)} = \{(x, I) : x \in \mathbb{R}^2\}$.

$$\begin{array}{ccc} Ax & & \blacktriangleright s(x, A) = (x, I) \\ & \nearrow A & \\ & x & \end{array}$$

Groupoids

Examples

Let $G = \mathbb{R}^2 \times \mathrm{GL}_2(\mathbb{R})$, and $G^{(0)} = \{(x, I) : x \in \mathbb{R}^2\}$.



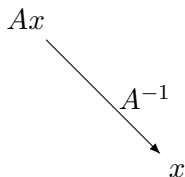
► $s(x, A) = (x, I)$

► $r(x, A) = (Ax, I)$

Groupoids

Examples

Let $G = \mathbb{R}^2 \times \mathrm{GL}_2(\mathbb{R})$, and $G^{(0)} = \{(x, I) : x \in \mathbb{R}^2\}$.

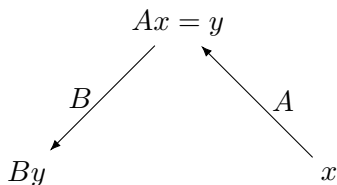


- ▶ $s(x, A) = (x, I)$
- ▶ $r(x, A) = (Ax, I)$
- ▶ $(x, A)^{-1} = (Ax, A^{-1})$

Groupoids

Examples

Let $G = \mathbb{R}^2 \times \mathrm{GL}_2(\mathbb{R})$, and $G^{(0)} = \{(x, I) : x \in \mathbb{R}^2\}$.

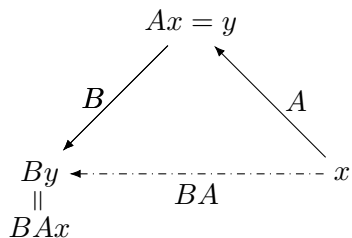


- ▶ $s(x, A) = (x, I)$
- ▶ $r(x, A) = (Ax, I)$
- ▶ $(x, A)^{-1} = (Ax, A^{-1})$

Groupoids

Examples

Let $G = \mathbb{R}^2 \times \mathrm{GL}_2(\mathbb{R})$, and $G^{(0)} = \{(x, I) : x \in \mathbb{R}^2\}$.



- ▶ $s(x, A) = (x, I)$
- ▶ $r(x, A) = (Ax, I)$
- ▶ $(x, A)^{-1} = (Ax, A^{-1})$
- ▶ $(y, B)(x, A) = (x, BA)$

Groupoids

Examples

Example

If G is a group, $G^{(0)} = \{1\}$ e $G^{(2)} = G \times G$.

Groupoids

Examples

Example

If G is a group, $G^{(0)} = \{1\}$ e $G^{(2)} = G \times G$.

Example

Let \sim be an equivalence relation on X . We can define

$$G = \{(x, y) : x \sim y\},$$

Groupoids

Examples

Example

If G is a group, $G^{(0)} = \{1\}$ e $G^{(2)} = G \times G$.

Example

Let \sim be an equivalence relation on X . We can define

$$G = \{(x, y) : x \sim y\},$$

where

$$G^{(0)} = \{(x, x) : x \in X\}$$

Groupoids

Examples

Example

If G is a group, $G^{(0)} = \{1\}$ e $G^{(2)} = G \times G$.

Example

Let \sim be an equivalence relation on X . We can define

$$G = \{(x, y) : x \sim y\},$$

where

$$G^{(0)} = \{(x, x) : x \in X\}$$
$$r(x, y) = (x, x), \quad s(x, y) = (y, y).$$

Groupoids

Examples

Example

If G is a group, $G^{(0)} = \{1\}$ e $G^{(2)} = G \times G$.

Example

Let \sim be an equivalence relation on X . We can define

$$G = \{(x, y) : x \sim y\},$$

where

$$\begin{aligned}G^{(0)} &= \{(x, x) : x \in X\} \\r(x, y) &= (x, x), \quad s(x, y) = (y, y). \\(x, y)(y, z) &= (x, z), \quad (x, y)^{-1} = (y, x),\end{aligned}$$

Groupoids

Functions on the groupoid

Example

Consider the groupoid $G = \{(x, y) : x \sim y\}$ given by the following equivalence relation on $\{1, 2, 3\}$: $1 \sim 2, 1 \not\sim 3$.

Groupoids

Functions on the groupoid

Example

Consider the groupoid $G = \{(x, y) : x \sim y\}$ given by the following equivalence relation on $\{1, 2, 3\}$: $1 \sim 2, 1 \not\sim 3$.

We can represent a function $f : G \rightarrow \mathbb{C}$ by the 3×3 matrix:

$$f = \begin{pmatrix} f(1, 1) & f(1, 2) & 0 \\ f(2, 1) & f(2, 2) & 0 \\ 0 & 0 & f(3, 3) \end{pmatrix}.$$

Groupoids

Functions on the groupoid

Example

Consider the groupoid $G = \{(x, y) : x \sim y\}$ given by the following equivalence relation on $\{1, 2, 3\}$: $1 \sim 2, 1 \not\sim 3$.

We can represent a function $f : G \rightarrow \mathbb{C}$ by the 3×3 matrix:

$$f = \begin{pmatrix} f(1, 1) & f(1, 2) & 0 \\ f(2, 1) & f(2, 2) & 0 \\ 0 & 0 & f(3, 3) \end{pmatrix}.$$

The matrix operations induce the following operations:

Groupoids

Functions on the groupoid

Example

Consider the groupoid $G = \{(x, y) : x \sim y\}$ given by the following equivalence relation on $\{1, 2, 3\}$: $1 \sim 2, 1 \not\sim 3$.

We can represent a function $f : G \rightarrow \mathbb{C}$ by the 3×3 matrix:

$$f = \begin{pmatrix} f(1, 1) & f(1, 2) & 0 \\ f(2, 1) & f(2, 2) & 0 \\ 0 & 0 & f(3, 3) \end{pmatrix}.$$

The matrix operations induce the following operations:

$$f^*(x, y) = \overline{f(y, x)}, \quad (f_1 \cdot f_2)(x, y) = \sum_{z \sim x} f_1(x, z) f_2(z, y).$$

Groupoids

Functions on the groupoid

Analogously, we will define the following operations on $C_c(G)$, for a groupoid G :

$$f^*(g) = \overline{f(g^{-1})}, \quad (f_1 \cdot f_2)(g) = \sum_{g_1 g_2 = g} f_1(g_1) f_2(g_2).$$

Groupoids

Functions on the groupoid

Analogously, we will define the following operations on $C_c(G)$, for a groupoid G :

$$f^*(g) = \overline{f(g^{-1})}, \quad (f_1 \cdot f_2)(g) = \sum_{g_1 g_2 = g} f_1(g_1) f_2(g_2).$$

Before doing that, we need to define a topology on G and study its properties.

Topological groupoids

Definition

A *topological groupoid* is a groupoid G with a topology such that $G^{(2)}$ is closed with respect to the relative topology of $G \times G$, and both the product and inverse maps are continuous.

Topological groupoids

Definition

A *topological groupoid* is a groupoid G with a topology such that $G^{(2)}$ is closed with respect to the relative topology of $G \times G$, and both the product and inverse maps are continuous.

Definition

A topological groupoid is *étale* if the maps r and s are local homeomorphisms.

Here we will assume that every groupoid G is locally compact Hausdorff second countable étale.

Groupoid C^* -algebras

Operations on $C_c(G)$

We equip the space

$$C_c(G) = \{f : G \rightarrow \mathbb{C} \text{ st } f \text{ is continuous with compact support}\}$$

with the operations

$$f^*(g) = \overline{f(g^{-1})}, \quad (f_1 \cdot f_2)(g) = \sum_{g_1 g_2 = g} f_1(g_1) f_2(g_2).$$

Groupoid C^* -algebras

Definition

Definition

A $*$ -representation of $C_c(G)$ is a linear map $\pi : C_c(G) \rightarrow B(\mathcal{H})$, where \mathcal{H} is a Hilbert space and the following properties hold:

$$\pi(f_1 \cdot f_2) = \pi(f_1)\pi(f_2), \quad \pi(f^*) = \pi(f)^*.$$

Groupoid C^* -algebras

Definition

Definition

A $*$ -representation of $C_c(G)$ is a linear map $\pi : C_c(G) \rightarrow B(\mathcal{H})$, where \mathcal{H} is a Hilbert space and the following properties hold:

$$\pi(f_1 \cdot f_2) = \pi(f_1)\pi(f_2), \quad \pi(f^*) = \pi(f)^*.$$

Theorem

There exists a C^* -algebra $C^*(G)$ such that $C_c(G)$ is dense in $C^*(G)$ and

$$\|f\| = \sup\{\|\pi(f)\| : \pi \text{ is a } *\text{-representation of } C_c(G)\},$$

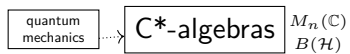
for all $f \in C_c(G)$.

Groupoid C^* -algebras

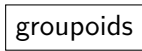
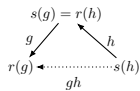
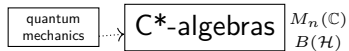
Examples

Many classes of C^* -algebras can be described by groupoid C^* -algebras. For example, AF algebras and graph algebras.

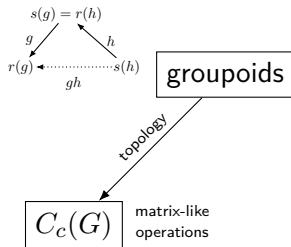
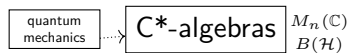
Outline



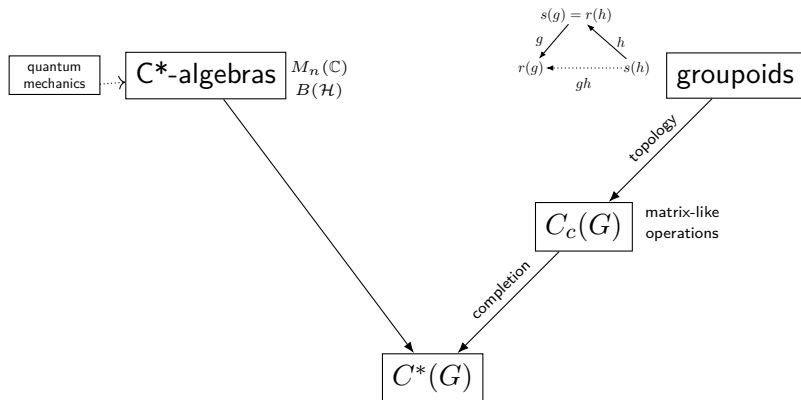
Outline



Outline



Outline



Application: KMS states

We will show how the topological properties of the groupoid help us understand its C^* -algebra in more detail.

Application: KMS states

We will show how the topological properties of the groupoid help us understand its C^* -algebra in more detail.

KMS states describe equilibrium states in quantum statistical mechanics.

KMS states

C*-dynamical systems

Definition

A *C*-dynamical system* is a pair (A, τ) where A is a C*-algebra, $\tau = \{\tau_t\}_{t \in \mathbb{R}}$ is a family of *-automorphisms $\tau_t : A \rightarrow A$ such that

KMS states

C^* -dynamical systems

Definition

A C^* -dynamical system is a pair (A, τ) where A is a C^* -algebra, $\tau = \{\tau_t\}_{t \in \mathbb{R}}$ is a family of $*$ -automorphisms $\tau_t : A \rightarrow A$ such that

- (i) $t \mapsto \tau_t(a)$ is continuous, for all $a \in A$;

KMS states

C^* -dynamical systems

Definition

A C^* -dynamical system is a pair (A, τ) where A is a C^* -algebra, $\tau = \{\tau_t\}_{t \in \mathbb{R}}$ is a family of $*$ -automorphisms $\tau_t : A \rightarrow A$ such that

- (i) $t \mapsto \tau_t(a)$ is continuous, for all $a \in A$;
- (ii) $\tau_{t+s} = \tau_t \circ \tau_s$;

KMS states

C^* -dynamical systems

Definition

A C^* -dynamical system is a pair (A, τ) where A is a C^* -algebra, $\tau = \{\tau_t\}_{t \in \mathbb{R}}$ is a family of $*$ -automorphisms $\tau_t : A \rightarrow A$ such that

- (i) $t \mapsto \tau_t(a)$ is continuous, for all $a \in A$;
- (ii) $\tau_{t+s} = \tau_t \circ \tau_s$;
- (iii) $\tau_0 = id$.

KMS states

C^* -dynamical systems

Definition

A C^* -dynamical system is a pair (A, τ) where A is a C^* -algebra, $\tau = \{\tau_t\}_{t \in \mathbb{R}}$ is a family of $*$ -automorphisms $\tau_t : A \rightarrow A$ such that

- (i) $t \mapsto \tau_t(a)$ is continuous, for all $a \in A$;
- (ii) $\tau_{t+s} = \tau_t \circ \tau_s$;
- (iii) $\tau_0 = id$.

If we fix τ , we say that τ is the *dynamics* on A .

KMS states

C*-dynamical systems

Definition

A *C*-dynamical system* is a pair (A, τ) where A is a C*-algebra, $\tau = \{\tau_t\}_{t \in \mathbb{R}}$ is a family of *-automorphisms $\tau_t : A \rightarrow A$ such that

- (i) $t \mapsto \tau_t(a)$ is continuous, for all $a \in A$;
- (ii) $\tau_{t+s} = \tau_t \circ \tau_s$;
- (iii) $\tau_0 = id$.

If we fix τ , we say that τ is the *dynamics* on A .

Example

Let $H \in M_n(\mathbb{C})$ be self-adjoint. Define $\tau_t : M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C})$ by

$$\tau_t(A) = e^{itH} A e^{-itH}, \quad \text{for } A \in M_n(\mathbb{C}).$$

KMS states

Definition

Definition

Let A be a C^* -algebra. An element $a \in A$ is *positive* if there exists $x \in A$ such that $a = x^*x$.

KMS states

Definition

Definition

Let A be a C^* -algebra. An element $a \in A$ is *positive* if there exists $x \in A$ such that $a = x^*x$. Notation: $a \geq 0$.

KMS states

Definition

Definition

Let A be a C^* -algebra. An element $a \in A$ is *positive* if there exists $x \in A$ such that $a = x^*x$. Notation: $a \geq 0$.

Definition

Let A be a C^* -algebra. A linear map $\varphi : A \rightarrow \mathbb{C}$ is a *state* if

KMS states

Definition

Definition

Let A be a C^* -algebra. An element $a \in A$ is *positive* if there exists $x \in A$ such that $a = x^*x$. Notation: $a \geq 0$.

Definition

Let A be a C^* -algebra. A linear map $\varphi : A \rightarrow \mathbb{C}$ is a *state* if

- (i) φ is *positive*: $\varphi(a) \geq 0$ for $a \geq 0$;

KMS states

Definition

Definition

Let A be a C^* -algebra. An element $a \in A$ is *positive* if there exists $x \in A$ such that $a = x^*x$. Notation: $a \geq 0$.

Definition

Let A be a C^* -algebra. A linear map $\varphi : A \rightarrow \mathbb{C}$ is a *state* if

- (i) φ is *positive*: $\varphi(a) \geq 0$ for $a \geq 0$;
- (ii) $\|\varphi\| = \sup_{\|x\| \leq 1} |\varphi(x)| = 1$.

KMS states

Definition

Definition

Let A be a C^* -algebra. An element $a \in A$ is *positive* if there exists $x \in A$ such that $a = x^*x$. Notation: $a \geq 0$.

Definition

Let A be a C^* -algebra. A linear map $\varphi : A \rightarrow \mathbb{C}$ is a *state* if

- (i) φ is *positive*: $\varphi(a) \geq 0$ for $a \geq 0$;
- (ii) $\|\varphi\| = \sup_{\|x\| \leq 1} |\varphi(x)| = 1$.

Definition

Let (A, τ) be a C^* -dynamical system and φ a state on A . Let $\beta \in \mathbb{R}$. We say that φ is a *KMS $_{\beta}$ -state* if

$$\varphi(a\tau_{i\beta}(b)) = \varphi(ba) \quad \text{for } a, b \in A_0.$$

KMS states

Definition

Definition

Let A be a C^* -algebra. An element $a \in A$ is *positive* if there exists $x \in A$ such that $a = x^*x$. Notation: $a \geq 0$.

Definition

Let A be a C^* -algebra. A linear map $\varphi : A \rightarrow \mathbb{C}$ is a *state* if

- (i) φ is *positive*: $\varphi(a) \geq 0$ for $a \geq 0$;
- (ii) $\|\varphi\| = \sup_{\|x\| \leq 1} |\varphi(x)| = 1$.

Definition

Let (A, τ) be a C^* -dynamical system and φ a state on A . Let $\beta \in \mathbb{R}$. We say that φ is a KMS_β -state if

$$\varphi(a\tau_{i\beta}(b)) = \varphi(ba) \quad \text{for } a, b \in A_0.$$

KMS states

Example

Example

Let $H \in M_n(\mathbb{C})$ be self-adjoint, i.e. $H^* = H$. Fix the dynamics τ on $M_n(\mathbb{C})$ by

$$\tau_t(A) = e^{itH} A e^{-itH}.$$

KMS states

Example

Example

Let $H \in M_n(\mathbb{C})$ be self-adjoint, i.e. $H^* = H$. Fix the dynamics τ on $M_n(\mathbb{C})$ by

$$\tau_t(A) = e^{itH} A e^{-itH}.$$

Then $\varphi : M_n(\mathbb{C}) \rightarrow \mathbb{C}$ given by

$$\varphi(A) = \frac{\text{tr}(e^{-\beta H} A)}{\text{tr}(e^{-\beta H})}$$

is a KMS_β -state.

KMS states

Example

In fact,

$$\mathrm{tr}(e^{-\beta H})\varphi(A\tau_{i\beta}(B)) = \mathrm{tr}(e^{-\beta H} A\tau_{i\beta}(B))$$

$$\tau_t(A) = e^{itH} A e^{-itH} \quad \varphi(A) = \frac{\mathrm{tr}(e^{-\beta H} A)}{\mathrm{tr}(e^{-\beta H})} \quad \mathrm{tr}(ABC) = \mathrm{tr}(BCA)$$

KMS states

Example

In fact,

$$\begin{aligned}\mathrm{tr}(e^{-\beta H})\varphi(A\tau_{i\beta}(B)) &= \mathrm{tr}(e^{-\beta H} A\tau_{i\beta}(B)) \\ &= \mathrm{tr}(e^{-\beta H} A e^{-\beta H} B e^{\beta H})\end{aligned}$$

$$\tau_t(A) = e^{itH} A e^{-itH} \quad \varphi(A) = \frac{\mathrm{tr}(e^{-\beta H} A)}{\mathrm{tr}(e^{-\beta H})} \quad \mathrm{tr}(ABC) = \mathrm{tr}(BCA)$$

KMS states

Example

In fact,

$$\begin{aligned}\mathrm{tr}(e^{-\beta H})\varphi(A\tau_{i\beta}(B)) &= \mathrm{tr}(e^{-\beta H} A\tau_{i\beta}(B)) \\ &= \mathrm{tr}(e^{-\beta H} A e^{-\beta H} B e^{\beta H}) \\ &= \mathrm{tr}(A e^{-\beta H} B e^{\beta H} e^{-\beta H})\end{aligned}$$

$$\tau_t(A) = e^{itH} A e^{-itH}$$

$$\varphi(A) = \frac{\mathrm{tr}(e^{-\beta H} A)}{\mathrm{tr}(e^{-\beta H})}$$

$$\mathrm{tr}(ABC) = \mathrm{tr}(BCA)$$

KMS states

Example

In fact,

$$\begin{aligned}\mathrm{tr}(e^{-\beta H})\varphi(A\tau_{i\beta}(B)) &= \mathrm{tr}(e^{-\beta H} A\tau_{i\beta}(B)) \\ &= \mathrm{tr}(e^{-\beta H} A e^{-\beta H} B e^{\beta H}) \\ &= \mathrm{tr}(A e^{-\beta H} B e^{\beta H} e^{-\beta H}) \\ &= \mathrm{tr}(A e^{-\beta H} B)\end{aligned}$$

$$\tau_t(A) = e^{itH} A e^{-itH} \quad \varphi(A) = \frac{\mathrm{tr}(e^{-\beta H} A)}{\mathrm{tr}(e^{-\beta H})} \quad \mathrm{tr}(ABC) = \mathrm{tr}(BCA)$$

KMS states

Example

In fact,

$$\begin{aligned}\mathrm{tr}(e^{-\beta H})\varphi(A\tau_{i\beta}(B)) &= \mathrm{tr}(e^{-\beta H} A\tau_{i\beta}(B)) \\ &= \mathrm{tr}(e^{-\beta H} A e^{-\beta H} B e^{\beta H}) \\ &= \mathrm{tr}(A e^{-\beta H} B e^{\beta H} e^{-\beta H}) \\ &= \mathrm{tr}(A e^{-\beta H} B) \\ &= \mathrm{tr}(e^{-\beta H} B A)\end{aligned}$$

$$\tau_t(A) = e^{itH} A e^{-itH}$$

$$\varphi(A) = \frac{\mathrm{tr}(e^{-\beta H} A)}{\mathrm{tr}(e^{-\beta H})}$$

$$\mathrm{tr}(ABC) = \mathrm{tr}(BCA)$$

KMS states

Example

In fact,

$$\begin{aligned}\mathrm{tr}(e^{-\beta H})\varphi(A\tau_{i\beta}(B)) &= \mathrm{tr}(e^{-\beta H} A\tau_{i\beta}(B)) \\ &= \mathrm{tr}(e^{-\beta H} A e^{-\beta H} B e^{\beta H}) \\ &= \mathrm{tr}(A e^{-\beta H} B e^{\beta H} e^{-\beta H}) \\ &= \mathrm{tr}(A e^{-\beta H} B) \\ &= \mathrm{tr}(e^{-\beta H} B A) \\ &= \mathrm{tr}(e^{-\beta H})\varphi(BA).\end{aligned}$$

$$\tau_t(A) = e^{itH} A e^{-itH} \quad \varphi(A) = \frac{\mathrm{tr}(e^{-\beta H} A)}{\mathrm{tr}(e^{-\beta H})} \quad \mathrm{tr}(ABC) = \mathrm{tr}(BCA)$$

Neshveyev's theorem

We fix the dynamics on $C^*(G)$ given by

$$\tau_t(f)(g) = e^{itc(g)} f(g), \quad f \in C_c(G), g \in G,$$

Neshveyev's theorem

We fix the dynamics on $C^*(G)$ given by

$$\tau_t(f)(g) = e^{itc(g)} f(g), \quad f \in C_c(G), g \in G,$$

where $c : G \rightarrow \mathbb{R}$ is a continuous *cocycle*

Neshveyev's theorem

We fix the dynamics on $C^*(G)$ given by

$$\tau_t(f)(g) = e^{itc(g)} f(g), \quad f \in C_c(G), g \in G,$$

where $c : G \rightarrow \mathbb{R}$ is a continuous cocycle $c(gh) = c(g) + c(h)$.

Neshveyev's theorem

We fix the dynamics on $C^*(G)$ given by

$$\tau_t(f)(g) = e^{itc(g)} f(g), \quad f \in C_c(G), g \in G,$$

where $c : G \rightarrow \mathbb{R}$ is a continuous cocycle $c(gh) = c(g) + c(h)$.

A KMS_β -state must satisfy

$$\varphi(f_1 \cdot \tau_{i\beta}(f_2)) = \varphi(f_2 \cdot f_1) \quad \text{for } f_1, f_2 \in C_c(G).$$

Neshveyev's theorem

We fix the dynamics on $C^*(G)$ given by

$$\tau_t(f)(g) = e^{itc(g)} f(g), \quad f \in C_c(G), g \in G,$$

where $c : G \rightarrow \mathbb{R}$ is a continuous cocycle $c(gh) = c(g) + c(h)$.

A KMS_β -state must satisfy

$$\varphi(f_1 \cdot \tau_{i\beta}(f_2)) = \varphi(f_2 \cdot f_1) \quad \text{for } f_1, f_2 \in C_c(G).$$

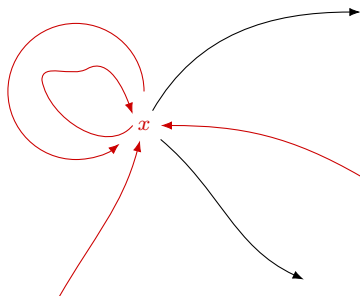
Now we study Theorem 1.3 of [4], by Neshveyev, which describes all KMS states φ on $C^*(G)$ by the formula

$$\varphi(f) = \int_{G^{(0)}} \sum_{g \in G_x^x} f(g) \varphi_x(u_g) d\mu(x), \quad f \in C_c(G).$$

Moreover, it gives a one-to-one correspondence between the KMS states and pairs $(\mu, \{\varphi_x\}_{x \in G^{(0)}})$ satisfying certain conditions.

Neshveyev's theorem

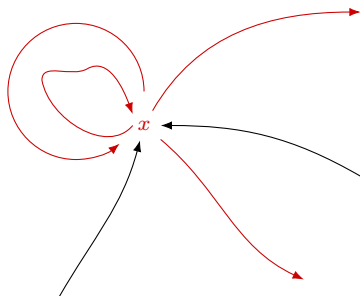
Some notation



$$G^x = r^{-1}(\{x\}).$$

Neshveyev's theorem

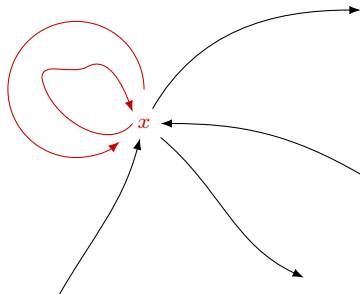
Some notation



$$G_x = s^{-1}(\{x\}).$$

Neshveyev's theorem

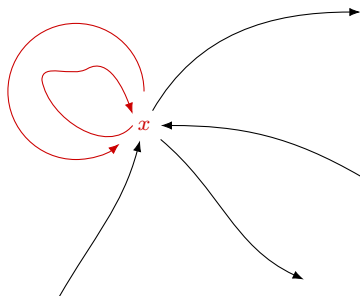
Some notation



$$G_x^x = G_x \cap G^x.$$

Neshveyev's theorem

Some notation



$$G_x^x = G_x \cap G^x.$$

Note that G_x^x is a group with identity x .

Neshveyev's theorem

$$\varphi(f) = \int_{G^{(0)}} \sum_{g \in G_x^x} f(g) \varphi_x(u_g) d\mu(x), \quad f \in C_c(G),$$

where

Neshveyev's theorem

$$\varphi(f) = \int_{G^{(0)}} \sum_{g \in G_x^x} f(g) \varphi_x(u_g) d\mu(x), \quad f \in C_c(G),$$

where

- ▶ μ is a Radon probability on $G^{(0)}$ satisfying

$$\int_{G^{(0)}} \sum_{g \in G^x} f(g) d\mu(x) = \int_{G^{(0)}} \sum_{g \in G_x} f(g) e^{-\beta c(g)} d\mu(x), \quad f \in C_c(G).$$

i.e., μ is *quasi-invariant* with Radon-Nikodym derivative $e^{-\beta c}$.

Neshveyev's theorem

$$\varphi(f) = \int_{G^{(0)}} \sum_{g \in G_x^x} f(g) \varphi_x(u_g) d\mu(x), \quad f \in C_c(G),$$

where

- ▶ μ is a Radon probability on $G^{(0)}$ satisfying

$$\int_{G^{(0)}} \sum_{g \in G_x^x} f(g) d\mu(x) = \int_{G^{(0)}} \sum_{g \in G_x^x} f(g) e^{-\beta c(g)} d\mu(x), \quad f \in C_c(G).$$

i.e., μ is *quasi-invariant* with Radon-Nikodym derivative $e^{-\beta c}$.

- ▶ u_g generate the C*-algebra $C^*(G_x^x)$. Also $u_g u_h = u_{gh}$ for $g, h \in G_x^x$

Neshveyev's theorem

$$\varphi(f) = \int_{G^{(0)}} \sum_{g \in G_x^x} f(g) \varphi_x(u_g) d\mu(x), \quad f \in C_c(G),$$

where

- ▶ μ is a Radon probability on $G^{(0)}$ satisfying

$$\int_{G^{(0)}} \sum_{g \in G_x^x} f(g) d\mu(x) = \int_{G^{(0)}} \sum_{g \in G_x^x} f(g) e^{-\beta c(g)} d\mu(x), \quad f \in C_c(G).$$

i.e., μ is *quasi-invariant* with Radon-Nikodym derivative $e^{-\beta c}$.

- ▶ u_g generate the C*-algebra $C^*(G_x^x)$. Also $u_g u_h = u_{gh}$ for $g, h \in G_x^x$
- ▶ Each φ_x is a state on $C^*(G_x^x)$

Neshveyev's theorem

$$\varphi(f) = \int_{G^{(0)}} \sum_{g \in G_x^x} f(g) \varphi_x(u_g) d\mu(x), \quad f \in C_c(G),$$

where

- ▶ μ is a Radon probability on $G^{(0)}$ satisfying

$$\int_{G^{(0)}} \sum_{g \in G_x^x} f(g) d\mu(x) = \int_{G^{(0)}} \sum_{g \in G_x^x} f(g) e^{-\beta c(g)} d\mu(x), \quad f \in C_c(G).$$

i.e., μ is *quasi-invariant* with Radon-Nikodym derivative $e^{-\beta c}$.

- ▶ u_g generate the C*-algebra $C^*(G_x^x)$. Also $u_g u_h = u_{gh}$ for $g, h \in G_x^x$
- ▶ Each φ_x is a state on $C^*(G_x^x)$
- ▶ $\{\varphi_x\}_{x \in G^{(0)}}$ satisfies a few more conditions

Neshveyev's theorem

Theorem

[4, Theorem 1.3] *There exists a one-to-one correspondence between KMS_β -states on $C^*(G)$ and pairs $(\mu, \{\varphi_x\}_{x \in G^{(0)}})$ consisting of a probability measure μ on $G^{(0)}$ and a μ -measurable field of states φ_x on $C^*(G_x^x)$ such that:*

- (i) μ is quasi-invariant with Radon-Nikodym derivative $e^{-\beta c}$;
- (ii) $\varphi_x(u_g) = \varphi_{r(h)}(u_{hgh^{-1}})$ for every $g \in G_x^x$ and $h \in G_x$, for μ -a.e. x ; in particular, φ_x is tracial for μ -a.e. x ;
- (iii) $\varphi_x(u_g) = 0$ for all $g \in G_x^x \setminus c^{-1}(0)$, for μ -a.e. x .

Neshveyev's theorem

Open bisections

In order to show that φ given by the formula in the previous slide satisfies the KMS condition:

$$\varphi(f_1 \cdot \tau_{i\beta}(f_2)) = \varphi(f_2 \cdot f_1) \quad \text{for } f_1, f_2 \in C_c(G),$$

he uses the following property of locally compact Hausdorff second countable étale groupoids:

Neshveyev's theorem

Open bisections

In order to show that φ given by the formula in the previous slide satisfies the KMS condition:

$$\varphi(f_1 \cdot \tau_{i\beta}(f_2)) = \varphi(f_2 \cdot f_1) \quad \text{for } f_1, f_2 \in C_c(G),$$

he uses the following property of locally compact Hausdorff second countable étale groupoids:

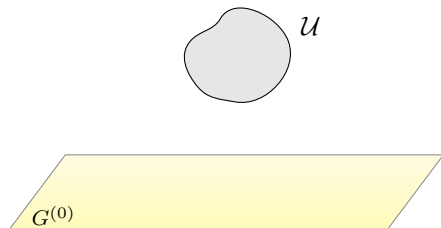
- ▶ A function $f \in C_c(G)$ can be written as a finite sum $f = f_1 + \cdots + f_n$. Each $f_i \in C_c(\mathcal{U}_i) \subset C_c(G)$ has support in an *open bisection* \mathcal{U}_i .

Neshveyev's theorem

Open bisections

If $\mathcal{U} \subset G$ is an open bisection, \mathcal{U} is open and

$r : \mathcal{U} \rightarrow r(\mathcal{U}), s : \mathcal{U} \rightarrow s(\mathcal{U})$ are homeomorphisms.

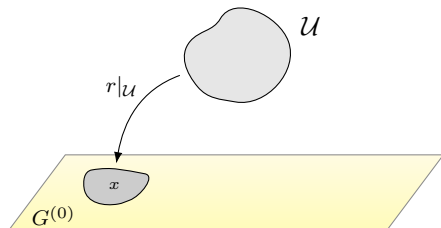


Neshveyev's theorem

Open bisections

If $\mathcal{U} \subset G$ is an open bisection, \mathcal{U} is open and

$r : \mathcal{U} \rightarrow r(\mathcal{U}), s : \mathcal{U} \rightarrow s(\mathcal{U})$ are homeomorphisms.

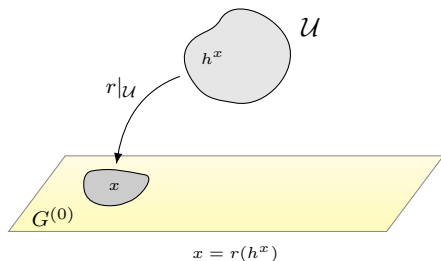


Neshveyev's theorem

Open bisections

If $\mathcal{U} \subset G$ is an open bisection, \mathcal{U} is open and

$r : \mathcal{U} \rightarrow r(\mathcal{U}), s : \mathcal{U} \rightarrow s(\mathcal{U})$ are homeomorphisms.

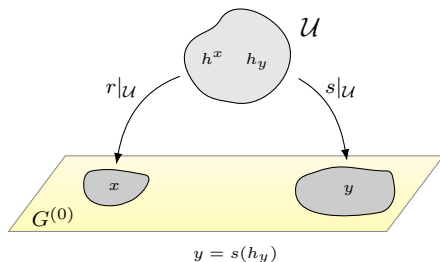


Neshveyev's theorem

Open bisections

If $\mathcal{U} \subset G$ is an open bisection, \mathcal{U} is open and

$r : \mathcal{U} \rightarrow r(\mathcal{U}), s : \mathcal{U} \rightarrow s(\mathcal{U})$ are homeomorphisms.

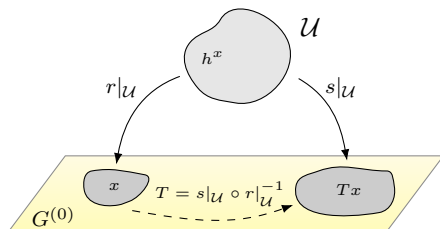


Neshveyev's theorem

Open bisections

If $\mathcal{U} \subset G$ is an open bisection, \mathcal{U} is open and

$r : \mathcal{U} \rightarrow r(\mathcal{U}), s : \mathcal{U} \rightarrow s(\mathcal{U})$ are homeomorphisms.

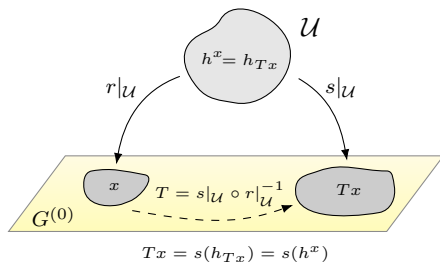


Neshveyev's theorem

Open bisections

If $\mathcal{U} \subset G$ is an open bisection, \mathcal{U} is open and

$r : \mathcal{U} \rightarrow r(\mathcal{U}), s : \mathcal{U} \rightarrow s(\mathcal{U})$ are homeomorphisms.

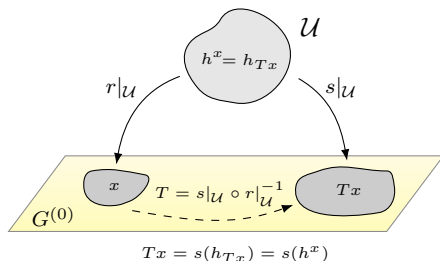


Neshveyev's theorem

Open bisections

If $\mathcal{U} \subset G$ is an open bisection, \mathcal{U} is open and

$r : \mathcal{U} \rightarrow r(\mathcal{U}), s : \mathcal{U} \rightarrow s(\mathcal{U})$ are homeomorphisms.






If $f_2 \in C_c(\mathcal{U})$, we can find easier formulas for $\varphi(f_1 \cdot \tau_{i\beta}(f_2))$ and $\varphi(f_2 \cdot f_1)$.

Conclusion

Using topological properties of groupoids, we can study some properties of groupoid C^* -algebras in more detail.

Main references I

-  Ola Bratteli and Derek W. Robinson.
Operator Algebras and Quantum Statistical Mechanics: Volume 1: C^ - and W^* -Algebras. Symmetry Groups. Decomposition of States.*
Springer-Verlag, 1979.
-  Ola Bratteli and Derek W. Robinson.
Operator Algebras and Quantum Statistical Mechanics. Vol. 2: Equilibrium states. Models in quantum statistical mechanics.
Springer-Verlag, 1997.
-  Gerard J. Murphy.
 C^ -Algebras and Operator Theory.*
Academic press, 1990.

Main references II



Sergey Neshveyev.

KMS States on the C^* -Algebras of Non-Principal Groupoids.
Journal of Operator Theory, 70(2):513–530.



Jean Renault.

A Groupoid Approach to C^ -Algebras*, volume 793.
Springer-Verlag, 1980.



Aidan Sims.

Hausdorff Étale Groupoids and Their C^* -Algebras.
arXiv preprint arXiv:1710.10897, 2017.