# Vaught's conjecture. 

Antonio Montalbán<br>U.C. Berkeley<br>January 2017<br>Napier, New Zealand

## Summary of the talk

- Part I: Vaught's conjecture.
- Part II: Vaught's conjecture in Model Theory.
- Part III: Vaught's conjecture in Computability Theory.
- Part IV: Vaught's conjecture in Descriptive Set Theory.


## Part I: Vaught's Conjecture

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- $|A|=|B|$, or
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$A$ has continuum many elements if $|A|=|\mathbb{R}|$.

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However, this rarely shows up in practice.
Thm [Suslin 1917]:
Every Borel subset of $\mathbb{R}$ has size either countable or continuum.
Thm [Silver 1980]: If $\equiv$ is a Borel equivalence relation on $\mathbb{R}$, then the number of equivalence classes is either countable or continuum.

## Notation about cardinals

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0<1<2<3<\cdots<\aleph_{0}<\aleph_{1}<\aleph_{2}<\cdots<\aleph_{\omega}<\aleph_{\omega+1}<\cdots
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Thm: [Cohen] Consistently with ZFC,
$2^{\aleph_{0}}$ can be any cardinal $\kappa$ so long as $\left|\kappa^{\aleph_{0}}\right|=\kappa$.

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- The ring $(\mathbb{Q}[x] ; 0,1,+, \times)$.
- The ordered ring $(\mathbb{Z} ; 0,1,+, \times, \leq)$.


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- $<_{K} \subseteq K^{2}$, and
(1) $\forall x, y, z\left(x+\kappa\left(y+{ }_{k} z\right)=(x+\kappa y)+{ }_{k} z\right)$
(2) $\forall x, y\left(x+k y=y+{ }_{k} z\right)$
(3) $\forall x\left(x+k 0_{K}=x\right)$
(4) $\forall x\left((\exists y) x+{ }_{K} y=0_{K}\right)$
(5) $\forall x, y, z\left(x \times_{K}\left(y \times_{K} z\right)=\left(x \times_{K} y\right) \times_{K} z\right)$
(6) $\forall x\left(x \times_{K} 1_{K}=x\right)$
(1) $\forall x\left(x \neq 0_{K} \rightarrow\left((\exists y) x \times_{K} y=1_{K}\right)\right)$
(8) $\forall x, y, z\left(x \times_{K}\left(y+{ }_{K} z\right)=\left(x \times_{K} y\right)+{ }_{K}\left(x \times_{K} z\right)\right)$
(9) $\forall x\left(x \neq 0_{K} \rightarrow\left(x<_{K} 0 \vee 0_{K}<x\right)\right)$
(10) $\forall x, y(x<K y \rightarrow \forall z(z+K x<z+K y))$
(11) $\forall x, y\left(x<_{K} y \rightarrow \forall z\left(z>0_{K} \rightarrow\left(z \times_{K} x<z \times_{K} y\right)\right)\right)$


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In 1st-order languages, $\forall$ and $\exists$ range over the elements of the stucture. Throughout this talk, vocabularies are always countable.

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## Examples:

- The class of groups
- The class of rings
- The class of commutative rings with no zero-divisors
- The class of linear orderings
- The class of dense linear orderings without end-points
- The class of algebraically closed fields
- The class of $\mathbb{Q}$-vector spaces.


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Note: Some of these possibilities might end up being isomorphic, and hence are being counted multiple times.

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Algebraically closed fields

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| dense linear orders without end-points | $2^{\aleph_{0}(\text { continuum })}$ |

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| Linear orders | $2^{\aleph_{0}}$ (continuum) |
| dense linear orders without end-points | 1 (countable) |
| dense linear orders | 4 (countable) |

## Vaught's Conjecture

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Theorem [Shelah 84] Vaught's conjecture holds for $\omega$-stable theories.

The most important partial result is:

Theorem: [Morley 70]
The number of countable models on any axiomatizable class
is either countable, $\aleph_{1}$, or $2^{\aleph_{0}}$.

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## Elementary Equivalence

Let $\mathcal{A}, \mathcal{B}$ be structures.
Definition: $\mathcal{A}$ and $\mathcal{B}$ are elementary equivalent if, for every sentence $\varphi$,

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Proof: Elementary equivalence is Borel.
Then, by Silver's theorem, every axiomatizable class of structures has either countably many or continuum many elementary equivalence classes.

## Types

Let $\mathcal{A}, \mathcal{B}$ be structures, $\bar{a} \in \mathcal{A}^{<\omega}$, and $\bar{b} \in \mathcal{B}^{<\omega}$.
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Corollary: If $\mathbb{K}$ is an axiomatizable class of structures without continuum many models, it has countably many types.

Proof: Type equivalence is Borel. By Silver's theorem, every axiomatizable class of structures has either countably many or continuum many different types.

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Since there are countably or continuum many elementary equivalence classes, Martin's conjecture $\Longrightarrow$ Vaught's conjecture.

## Case study: $\omega$-Stable Theories

Definition: A theory is $\omega$-stable if, in every model, even after naming countably many elements, there are countably many types.

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Definition: A theory is $\omega$-stable if, in every model, even after naming countably many elements, there are countably many types.

Theorem: [Shelah, Bouscaren] $\omega$-stable theories satisfy Martin's conjecture.

## Summary of the talk

- Part I: Vaught's conjecture.
- Part II: Vaught's conjecture in Model Theory.
- Part III: Vaught's conjecture in Computability Theory.
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## Part III: Computability Theory

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From a computability viewpoint,
a counterexample to Vaught's conjecture must look like the class of ordinals.

## Computable functions

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Recall that any finite object can be encoded by a natural number.

## Examples of non-computable sets

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The Halting problem: The set of programs that halt, and don't run for ever, is not computable.

## Basic definitions

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- The set of true sentences in number theory.


## Computable Mathematics

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Various areas have been studied,
(1) Combinatorics,
(2) Algebra,
(3) Analysis,
(9) Model Theory

In many cases one needs to develop a better understanding of the mathematical structures to be able to get the computable analysis.

## Coding structures

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Note: A single structure can have many isomorphic presentations.

## Sample theorem in computable structure theory.

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Recall: A countable ring $\mathcal{A}=\left(A, 0,1,+_{A}, \times_{A}\right)$ can be encoded by three sets $A \subseteq \mathbb{N},+_{A} \subseteq \mathbb{N}^{3}$ and $x_{A} \subseteq \mathbb{N}^{3}$.
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Theorem: [Friedman, Simpson, Smith]
Not every computable Abelian ring has a computable maximal ideal. However, maximal ideals can be found computable in the halting problem. There are computable rings, all whose maximal ideals compute the halting problem.

## Example: Represent Structures

> Def: A group $\mathcal{G}=(G,+)$ is computable  if both $G \subseteq \mathbb{N}$ and $+\subseteq \mathbb{N}^{3}$ are computable.

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Example: Given a set $X \subseteq \omega$ consider the group:

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If $X$ is the complement of the halting problem, then any presentation of $\mathcal{G}_{X}$ computes the halting problem.

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## Examples:

- For a ring $\mathcal{A}$, the $\mathcal{A}[x]$ is computable in $\mathcal{A}$.
- For every group $\mathcal{G}, \mathcal{G}$ is computable in $\mathcal{G} \oplus \mathcal{G}$ and vice-versa.
- There are groups $\mathcal{G}$ such that $\sum_{i=1}^{\infty} \mathcal{G}$ does not compute $\mathcal{G}$. Take $G=\sum_{i=1}^{\infty}\left(\mathbb{Z}_{p_{i}}\right)^{k_{i}}$, non-computable with all $k_{i} \neq 0$.


## Vaught's conjecture in Computability Theory

Theorem ([M. 2012] ZFC + PD $+\neg \mathrm{CH}$ )
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Proof of $(2) \Longrightarrow(1)$ :
Lemma: If $(\mathcal{L}, \leq)$ is a linear ordering such that every element has at most countably many elements below it, then it has at most $\aleph_{1}$ many elements.

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However, the class of well-orders is not 1st-order axiomatizable.

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Let $\mathbb{K}$ be an axiomatizable class of countable models. TFAE:
(1) $\mathbb{K}$ is a counterexample to Vaught's conjecture.
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$$
\begin{aligned}
& \text { if and only if } \\
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- Part IV: Vaught's conjecture in Descriptive Set Theory.


## Summary of the talk

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- Part II: Vaught's conjecture in Model Theory.
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## The topological Vaught's conjecture

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## Group actions

Definition: Given a group $(G ; *)$ and a set $X$, a group action of $G$ on $X$ is a map $a: G \times X \rightarrow X$ (where $a(g, x)$ is denoted $g \cdot x)$ such that

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## Borel sets

Definition: The Borel sets of a topological space $\mathcal{X}$ is the smallest class of subsets of $\mathcal{X}$ that

- contains all open sets
- and is closed under
- countable unions;
- countable intersections;
- complements.


## Known cases

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Theorem:
The topological Vaught's conjecture holds for the following groups:

- Locally compact
- Abelian [Sami]
- Nilpotent [Hjorth and Solecki]
- Groups with two-sided invariant metrics [Solecki]
- Groups with complete left invariant metrics [Becker]


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The following and their complements form a basis of clopen sets

- $\left\{\mathcal{G} \in \mathcal{X}_{\mathcal{L}}: a+{ }_{G} b=c\right\}$ for $a, b, c \in \mathbb{N}$.
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Theorem: $\mathcal{X}_{L}$ is a Polish space.

## Example: the ordered groups

Fix a computable vocabulary $L$. Say the vocabulary of ordered groups $\{e, \times, \leq\}$. The following and their complements form a basis of clopen sets

- $\left\{\mathcal{G} \in \mathcal{X}_{\mathcal{L}}: e_{G}=a\right\}$ for $a \in \mathbb{N}$.
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Theorem: If $\varphi$ is a 1st-order sentence, $\left\{\mathcal{G} \in \mathcal{X}_{L}: \mathcal{G} \models \varphi\right\}$ is Borel.

## Background on infinitary logic

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Theorem[Lopez-Escobar]: For $\mathbb{K} \subseteq \mathcal{X}_{\mathcal{L}}$ closed under isomorphisms, $\mathbb{K}$ is axiomatizable by an $\mathcal{L}_{\omega_{1}, \omega}$ sentence $\Longleftrightarrow \mathbb{K}$ is Borel.

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Lemma: [Scott 65] For every structure $\mathcal{A}$, there is an $L_{\omega_{1}, \omega}$ sentence $\varphi$ such that, $\mathcal{B} \models \varphi$ if and only if $\mathcal{B} \cong \mathcal{A}$.

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## Vaught's Conjecture for $L_{\omega_{1}, \omega}$ :

The number of countable models of an $\mathcal{L}_{\omega_{1}, \omega}$ sentence
is either countable, or $2^{\aleph_{0}}$.

## The permutation group of $\omega$

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Definition: $S_{\infty}$ acts over $\mathcal{X}_{L}$ in an obvious way.
For $\mathcal{A} \in \mathcal{X}_{L}, f \in S_{\infty}, f \cdot \mathcal{A}$ is the structure $\mathcal{B}$ such that

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\left(n_{1}, \ldots, n_{k}\right) \in R^{\mathcal{A}} \Longleftrightarrow\left(f\left(n_{1}\right), \ldots, f\left(n_{k}\right)\right) \in R^{\mathcal{B}} .
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## The permutation group of $\omega$

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Theorem: [Becker, Kechris]: The following are equivalent:

- Vaught's conjecture for infinitary first-order languages.
- For any continuous action of $S_{\infty}$ into any Polish space, the number of orbits in any Borel invariant set
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(3) The set of pairs $(\mathcal{A}, \mathcal{B}) \in \mathbb{R}^{3}$, where $\mathcal{A}$ and $\mathcal{B}$ are presentations of isomorphic structures in $\mathbb{K}$ is analytic.

## Analytic Sets

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There exists analytic equivalence relations with $\aleph_{1}$ equivalence classes.

## Muchnik computability for an equivalence class.

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## Examples:

The following equivalence classes have $\aleph_{1}$-many equivalence classes:
(1) isomorphism on well-orderings;
(2) bi-embeddability on linear orderings;
(3) bi-embeddability on torsion $p$-groups;
(4) isomorphism on models of a counterexample to Vaught's conjecture when relativized;
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