

# My work with Rod 1995-2001

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## Degree structures

Back in the days before everyone started using the beamer package, degree structures were studied even more intensely than now.

My work with Rod 1995-2001 was centred around degree structures.

*We looked at reducibilities other than Turing:*

- $\leq_Q$ ,
- $\leq_m^p$  ,  $\leq_T^p$  (polynomial time  $m$ - and Turing),
- $\leq_S$  (Solovay).

## Three degree structures

Rod, I and co-authors studied three very different degree structures.

- The  $Q$  degrees of r.e. sets, with LaForte
- polynomial time many-one and Turing degrees of exponential time sets of strings
- Solovay degrees of left-r.e. reals, with Hirschfeldt.

## I. $Q$ -degrees of r.e. sets

$Q$ -reducibility was defined by Tennenbaum (according to Rogers). The “ $Q$ ” stands for “quasi”. In the Turing case we have for r.e. sets  $A \leq_T B \Leftrightarrow \exists R \text{ r.e. } \forall y [y \in \overline{A} \leftrightarrow \exists z [\langle y, z \rangle \in R \ \& \ D_z \subseteq \overline{B}]]$ .

For  $Q$ -reducibility, the reduction procedure is allowed at most one negatively answered oracle question. Thus, each  $D_z$  is a singleton. Now let  $W_{g(y)} = \bigcup_{\langle y, z \rangle \in R} D_z$  for computable  $g$ :

**Definition 1** For  $A, B \subseteq \mathbb{N}$ , let  $A \leq_Q B \Leftrightarrow$

$$\exists g \text{ computable } \forall y [y \in A \leftrightarrow W_{g(y)} \subseteq B].$$

For r.e. sets  $A \leq_Q B \Rightarrow A \leq_T B$ .

$Q$ -reducibility was used for a structural solution to Post’s problem (Marchenkov), and for the complexity of word problems of groups.

## Meets and joins in $\mathcal{R}_Q$

Results below are from Downey, N, LaForte,  
*Computationally enumerable sets and quasi-reducibility*,  
APAL 95.1 (1998): 1-35.

First note that  $\mathcal{R}_Q$  is an upper semilattice where the sup of degrees of  $A, B$  is the degree of  $A \oplus B$ .

**Theorem 2** *There is a minimal pair of r.e.  $Q$ -degrees that have the same Turing degree.*

The proof uses a pinball machine model.

**Theorem 3** *There is a meet-irreducible r.e.  $Q$ -degree outside any nontrivial upper cone.*

## Density of $\mathcal{R}_Q$

The hardest result answers a question of Ishmukametov.

**Theorem 4** *The r.e.  $Q$ -degrees are dense.*

Given r.e.  $B <_Q A$ , we want to build r.e.  $C$  such that  $B <_Q B \oplus C <_Q A$ . The proof is harder than in the Turing case. For instance, the usual permitting technique for  $C \leq_T A$  doesn't yield a  $Q$ -reduction. We use a tree of strategies.

Truth table reducibility (not dense) and  $Q$ -reducibility are incomparable reducibilities on the r.e. sets; the result shows that  $Q$ -reducibility is in a sense closer to Turing.

## Undecidability of $\text{Th}(\mathcal{R}_Q)$

**Theorem 5** *The first-order theory of  $\mathcal{R}_Q$  is undecidable.*

This is proved by encoding with parameters any given computable partial order  $(\mathbb{N}, \prec)$ .

- The domain is represented by a Slaman-Woodin set  $\{G_i\}$  (the sets below  $C$  of minimal degree cupping  $P$  above  $Q$ ).
- Build a further parameter  $L$  with  $i \preceq k \leftrightarrow G_i \leq_Q G_k \oplus L$ .

## Some later work on $Q$ -degrees

- Affatato, Kent and Sorbi 2007: paper on  $s$ -degrees (singleton reducibility). This is a restricted version of  $e$ -reducibility.

Note that  $\overline{A} \leq_s \overline{B} \Leftrightarrow A \leq_Q B$ .

They show the  $\Sigma_2^0$  and the  $\Pi_1^0$   $s$ -degrees are undecidable, using “exact degree theorems”.

- Arslanov, Baturshin and Omanadze (2007) work on  $n$ -r.e.  $Q$ -degrees.

They also prove that there is a noncappable incomplete r.e.  $Q$ -degree.



## II: subrecursive degree structures

Let  $\Sigma$  be alphabet,  $X, Y \subseteq \Sigma^*$  languages over  $\Sigma$ .

$$X \leq_m^p Y \Leftrightarrow \exists f \in P [X = f^{-1}(Y)]$$

$X \leq_T^p Y \Leftrightarrow \exists$  polynomial time bounded oracle TM which computes  $X$  with oracle  $Y$ .

Ladner (1975) proved that the degree structures induced on the computable languages are dense.

**Theorem 6 (Downey and N., JCSS 2003)** *The polynomial time many-one and Turing degrees of languages in  $\text{DTIME}(2^n)$  have an undecidable theory.*

Instead of  $2^n$ , one can take any nondecreasing time constructible function  $h : \omega \rightarrow \omega$  such that  $P \subset \text{DTIME}(h)$ . E.g.  $h(n) = n^{\log \log n}$ .

## Undecidable ideal lattices

- A structure  $(\mathbb{N}, \preceq, \wedge, \vee)$  is a  $\Sigma_k^0$ -boolean algebra if  $\preceq$  is  $\Sigma_k^0$ , and the operations  $\wedge, \vee$  are recursive in  $\emptyset^{(k-1)}$ .
- A  $\Sigma_k^0$ -boolean algebra  $\mathcal{B}$  is called **effectively dense** if there is a function  $F \leq_T \emptyset^{(k-1)}$  such that  $\forall x [F(x) \preceq x]$  and  $\forall x \neq 0 [0 \prec F(x) \prec x]$ .
- For a  $\Sigma_k^0$ -boolean algebra  $\mathcal{B}$ , let  $\mathcal{I}(\mathcal{B})$  be the lattice of  $\Sigma_k^0$ -ideals of  $\mathcal{B}$  with  $\cap$  and  $\vee$  as operations.

**Theorem 7 (N, Trans. AMS 2000)** *Suppose  $\mathcal{B}$  is an effectively dense  $\Sigma_k^0$ -Boolean algebra. Then  $\text{Th}(\mathbb{N}, +, \cdot) \equiv_m \text{Th}(\mathcal{I}(\mathcal{B}))$ .*

It is much easier to show that  $\text{Th}(\mathcal{I}(\mathcal{B}))$  is hereditarily undecidable [N., Bull. LMS 1997].

## Undecidability via coding $\mathcal{I}(\mathcal{B})$

It is often natural to interpret with parameters  $\mathcal{I}(\mathcal{B})$  in a structure. This shows that the structure has an undecidable theory.

If no parameters are needed, it yields an interpretation of  $\text{Th}(\mathbb{N}, +, \cdot)$  in the theory of the structure.

- Intervals of  $\mathcal{E}^*$  that are not Boolean algebras; no parameters needed (N., 1997)
- Computable sets with parameterized reducibilities (Coles, Downey, Sorbi, year?).
- Solovay degrees of left-r.e. reals (Downey, Hirschfeldt and LaForte, JCSS, 2007). Details later.

## Supersparse sets in complexity theory

We will apply the method of coding  $\mathcal{I}(\mathcal{B})$  in the proof that polytime degrees of  $\text{DTIME}(h)$  have an undecidable theory. Here  $k = 2$ , because  $\leq_m^p$  and  $\leq_T^p$  are  $\Sigma_2^0$ -relations on such a class.

**Definition 8 (Ambos-Spies 1986)** *Let  $f: \omega \rightarrow \omega$  be a strictly increasing, time constructible function. We say that a language  $A \subseteq \{0^{f(k)} : k \in \omega\}$  is super sparse via  $f$  if*

*“ $0^{f(k)} \in A$  ?” can be determined in time  $O(f(k + 1))$ .*

Supersparse sets exist in the time classes we are interested in.

**Lemma 9 (Ambos-Spies 1986)** *Suppose that  $h: \omega \rightarrow \omega$  is a nondecreasing time constructible (“nice”) function with  $P \subset \text{DTIME}(h)$ . Then there is a super sparse language  $A \in \text{DTIME}(h) - P$ .*

## Interpreting $\mathcal{I}(\mathcal{B})$ in $[\mathbf{o}, \mathbf{a}]$ for super sparse $\mathbf{a}$

Now let  $f, h$  be time constructible functions as above, let  $A \in \text{DTIME}(h) - P$  be supersparse via  $f$ , and  $\mathbf{a}$  be its degree. Ambos-Spies has shown that  $[\mathbf{o}, \mathbf{a}]$  is a distributive lattice that does not depend on the reducibility.

- Each complemented element in  $[\mathbf{o}, \mathbf{a}]$  is the degree of a splitting  $A \cap R$ , where  $R$  is polytime.
- This implies that the algebra  $\mathcal{B}$  of complemented elements is  $\Sigma_2^0$  and effectively dense.
- Downey and N. showed that for each ideal  $I$  in  $\mathcal{I}(\mathcal{B})$ , there is  $\mathbf{c}_I \leq \mathbf{a}$  such that  $\mathbf{x} \in I \Leftrightarrow \mathbf{x} \leq \mathbf{c}_I$  for each  $\mathbf{x} \leq \mathbf{a}$ .

So one can interpret  $\mathcal{I}(\mathcal{B})$  in  $[\mathbf{o}, \mathbf{a}]$  without parameters (and hence in  $\text{DTIME}(h)$  with parameter  $\mathbf{a}$ ).

### III. Solovay reducibility on left-r.e. reals

A real  $\alpha$  is **left-r.e.** if there is a non-decreasing effective sequence  $(\alpha_s)$  of rationals converging to  $\alpha$ .

*We will use  $\alpha, \beta, \gamma$  to denote left-r.e. reals. We think of them as equipped with an effective sequence of rationals of this kind.*

Example of a left-r.e. real: The halting probability of a fixed universal prefix-free machine  $U$

$$\Omega = \sum \{2^{|\sigma|} : U(\sigma) \downarrow\}.$$

## Solovay reducibility

Solovay (1975) introduced a reducibility  $\leq_S$  to compare the “randomness content” of left-r.e. reals.

$$\beta \leq_S \alpha \Leftrightarrow$$

$$\exists C \in \mathbb{Q} \exists f \text{ computable increasing } \forall s [\beta - \beta_{f(s)} \leq C(\alpha - \alpha_s)].$$

He proved that  $\beta \leq_S \alpha \Rightarrow \exists c \forall n K(\beta \upharpoonright n) \leq K(\alpha \upharpoonright n) + c$ .

$\Omega$  is  $\leq_S$ -complete. Kucera and Slaman (2001) showed that, for left-r.e. reals,

$$\leq_S\text{-complete} \Leftrightarrow \text{ML-random.}$$

**Fact 10**  $\beta \leq_S \alpha \Leftrightarrow \exists C \text{ rational } \exists \gamma C(\beta + \gamma) = \alpha$ .

**Fact 11**  $\beta \leq_S \alpha \Rightarrow \beta \leq_T \alpha$ . But  $\leq_S$  is incomparable with  $\leq_{wtt}$ .

## Algebraic properties

Results below are from Downey, Hirschfeldt, Nies, *Randomness, computability, and density*, Siam J. Computing 31, 2002.

Let  $\mathcal{S}$  be the degree structure induced on the r.e. reals. We investigate the algebraic properties of  $\mathcal{S}$ .

$\mathcal{S}$  is an upper semilattice (u.s.l.) where the sup is given by the usual addition.

Recall that an u.s.l. is distributive if it satisfies

$$a \leq b \vee c \Rightarrow a = \tilde{b} \vee \tilde{c} \text{ for some } \tilde{b} \leq b, \tilde{c} \leq c.$$

**Proposition 12**  $\mathcal{S}$  is a distributive u.s.l.

Among the common degree structures on r.e. sets,  $\mathcal{R}_m$  (many-one) and  $\mathcal{R}_{wtt}$  (weak truth-table) are distributive.

Is  $\mathcal{S}$  more like  $\mathcal{R}_{wtt}$ , or more like  $\mathcal{R}_m$ ?



## Density

Using standard coding and preservation strategies, we obtain upward density.

**Theorem 13** *Let  $\gamma <_S \Omega$ . Then there is  $\beta$  such that  $\gamma <_S \beta <_S \Omega$ .*

If  $\alpha <_S \Omega$ , we prove that in a sense any sequence for  $\Omega$  converges much slower than one for  $\alpha$ . This gives combined splitting and density below  $\alpha$ .

**Theorem 14** *Let  $\gamma <_S \alpha <_S \Omega$ . There are  $\beta^0$  and  $\beta^1$  such that  $\gamma <_S \beta^0, \beta^1 <_S \alpha$  and  $\beta^0 + \beta^1 = \alpha$ .*

Combining the two, we obtain a (non-uniform) proof of density.

$\mathcal{S}$  shares this property with  $\mathcal{R}_{wtt}$ .

## Random left-r.e. reals

**Fact 15** *If one of  $\alpha, \beta$  is ML-random, then  $\gamma = \alpha + \beta$  is ML random.*

By contraposition suppose that  $\gamma$  is not ML-random. So  $\gamma \in \bigcap G_m$  for a ML-test  $(G_m)$ , where  $\lambda G_m \leq 2^{-m-1}$ . Build a ML-test  $(H_m)$  for  $\alpha$ : At stage  $s$ , if  $\gamma_s \in I$  where  $I = [x, y)$  is a maximal subinterval of  $G_{m,s}$ , then put the interval

$$J = [x - \beta_s - (y - x), y - \beta_s]$$

into  $H_m$ . (Note that  $J$  is twice as long as  $I$ .)

A similar fact *fails* for left-r.e. reals and weaker randomness notions. The opposite was announced (wrongly) during the talk.

**Fact 16 (with Miyabe and Stephan, 2017)** *There is  $\alpha$  partial computably random and  $\beta$  such that  $\alpha + \beta$  is not Kurtz random.*

## Random left-r.e. reals

Now for the converse for ML-randomness.

**Theorem 17** *If  $\alpha + \beta$  is ML-random, then one of  $\alpha, \beta$  is ML random.*

(Several years after our paper appeared in 2001, Kucera pointed out that this was claimed without proof by Demuth<sup>a</sup>.)

Using the Kucera and Slaman Theorem that any random left-r.e. real is  $\leq_S$ -complete, this implies

**Corollary 18** *In  $\mathcal{S}$ , the greatest element is join irreducible.*

$\mathcal{S}$  shares this property with  $\mathcal{R}_m$ .

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<sup>a</sup>Constructive pseudonumbers, Comment. Math. Univ. Carolinae, vol. 16 (1975), pp. 315 - 331, Russian)

## Later work

- Downey, Hirschfeldt and LaForte, *Undecidability of the structure of the Solovay degrees of c.e. reals* (2002) uses the method of coding  $\mathcal{I}(\mathcal{B})$ . Similar to the complexity case, they build an r.e. set  $A$  such that all complemented elements below are given by r.e. splittings.
- Downey, Hirschfeldt and LaForte, *Randomness and reducibility*, JCSS, 2007 (also D-H book): proof of results such as density in a more general axiomatic setting; works for  $\leq_S, \leq_C, \leq_K, \leq_{rK}$  but not  $\leq_{sw}$ .
- Barmpalias, Bull. Symb. Log. 19(3), 2013: elementary differences between the structures etc.

## Additive cost functions

Not a lot has happened on the structure of Solovay degrees in recent years. However, I used  $\leq_S$  in the paper “Calculus of cost functions” (to appear in “The Incomputable”).

For a r.e. real  $\beta$  with a given approximation let  $c_\beta(x, s) = \beta_s - \beta_x$ .

**Proposition 19**  $c_\alpha$  implies  $c_\beta$  for some approximations of  $\alpha, \beta$

$$\Leftrightarrow \beta \leq_S \alpha.$$

E.g.  $c_\Omega$  is the strongest additive cost function. Obeying it characterises the  $K$ -trivials.

**Question 20** Find  $\beta$  such that the  $\Delta_2^0$  sets obeying  $c_\beta$  form a proper Turing ideal different from the  $K$ -trivials.

## More open questions

**Question 21** *Do the degree structures considered above interpret true arithmetic?*

**Question 22** *Suppose  $\mathbf{a} \neq \mathbf{0}$  is a polytime  $m$  (or Turing) degree. Is  $\text{Th}[\mathbf{0}, \mathbf{a}]$  undecidable?*

**Question 23** *How can we distinguish incomplete Solovay degrees of left-r.e. reals? For instance, are there two non-isomorphic initial segments strictly below  $\Omega$ ?*

Also: study the Solovay degrees of left-r.e. Schnorr randoms.