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# Resource-bounded randomness and computable Dowd-type generic sets

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# 1 Abstract

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The relationship between resource-bounded randomness (in the sense of Lutz, Ambos-Spies et al.) and Dowd-type genericity has been not clear so far. We show that there exists a primitive recursive function  $t(n)$  such that every  $t(n)$ -random set (in the sense of Lutz) is  $r$ -Dowd ( $r$ -generic in the sense of Dowd) for each positive integer  $r$ . A proof is done by means of constructing resource-bounded martingales.

## 2 Introduction

Ambos-Spies et al. introduced the concept of resource-bounded random set by extending the works of Schnorr and Lutz. The concept of Dowd-type generic oracle, the main topic of this talk, is different from the resource-bounded genericity of Ambos-Spies et al.; while the former is based on an analogy of forcing theorem, the latter is based on time-bound of finite-extension strategy.

Def. [Dowd 1992]  $X$  is  $r$ -Dowd if every  $r$ -query tautology  $F$  with respect to  $X$  is forced by a forcing condition of polynomial-size in  $|F|$ .

We study the following property of an oracle  $X$ .

“For every positive integer  $r$ ,  $X$  is  $r$ -Dowd.”

In this talk, we denote the above property by the phrase “ $X$  is a Dowd-type generic oracle”.

- (1) [Dowd 1992] [S.2001] The class of all Dowd-type generic oracles has measure 1. ([Dowd 1992] asserts “they are not c.e.”)
- (2) [S.2002]  $\exists$  primitive recursive 1-Dowd oracle. And,  $\forall$  Turing degree contains a 1-Dowd oracle.
- (3) [S.&K.2009](*ALC10*) Martin-Löf 1-randomness implies Dowd-type genericity.
- (4) [S.&K.2011](*ALC11*) (2) holds for “Dowd-type generic” in place of “1-Dowd”.

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- (3) [S.&K.2008](*ALC10*) Martin-Löf 1-randomness implies Dowd-type genericity.
- (4) [S.&K.2010](*ALC11*) (2) holds for “**Dowd-type generic**” in place of “**1-Dowd**”.

The result (4) gives an affirmative answer to a problem left open in the paper of the result (2).

**Main result:** There exists a primitive recursive function  $t(n)$  such that every  $t(n)$ -random set is  $r$ -Dowd ( $r$ -generic in the sense of Dowd) for each positive integer  $r$ .

The main theorem of the current talk gives an alternative proof of the affirmative answer.

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### 3 Def. of D.-type g.o. (sketch)

The definition of Dowd-type genericity is based on an analogy to *forcing theorem*.

“A certain property of an **exponential-sized** portion of an oracle  $X$  is forced by a **polynomial-sized** portion of  $X$ . ”

cf. “A sentence in **generic extension** is forced by a **finite-sized** forcing condition. ”

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cf. “A sentence in generic extension is forced by a finite-sized forcing condition. ”

“A certain property” is described with  
*the relativized propositional calculus (RPC).*

RPC = ( propositional calculus )  
+ {  $\xi^1(-)$ ,  $\xi^2(-, -)$ ,  $\xi^3(-, -, -)$ ,  $\dots$  }  
(a set of connectives).

For each  $n$ , the  $n$ -ary connective  
 $\xi^n$  (a *query symbol*) is interpreted to the initial  
segment of a given oracle up to  $2^n$ th string.

**Example:** a formula of RPC, where each  $q_i$  is a propositional variable.

$$(q_0 \Leftrightarrow \xi^3(q_1, q_2, q_3)) \Rightarrow [q_0 \vee (q_1 \wedge q_4)]$$

Given a formula  $F$  of RPC and an oracle  $X$ , truth of  $F$  is determined by

“a truth assignment + a finite portion of  $X$ ”.

A finite portion (a finite sub-function) of an oracle is called a *forcing condition*.

$X$  is  $r$ -Dowd

$\Leftrightarrow_{\text{def.}} \exists p$  (a polynomial depending on  $r$ )

$\forall F$  (an  $r$ -query tautology w. r. t.  $X$ )

$\exists S$  (a finite sub-function of  $X$ ) such that

$S$  forces  $F$  to be a tautology

(i.e., for every oracle  $Y$  extending  $S$ ,  $F$  is a tautology with respect to  $Y$ )

and the size of  $S$  is at most  $p(|F|)$ , where the size denotes the cardinality of the domain of  $S$ .

# 4 Reviews of (2) and (4)

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## **The result (4):**

- *There exists a primitive recursive Dowd-type generic oracle.*
- *Every Turing degree contains a Dowd-type generic oracle.*

The result (2) is a special case where only 1-query formulas are considered.

We sketch our proof of the following result.

**Lemma 1** *Suppose that  $r$  is a positive integer. Then, the followings hold.*

- *There exists a primitive recursive  $r$ -Dowd oracle.*
- *Every Turing degree contains an  $r$ -Dowd oracle.*

The result (4) is proved in a similar (but technically more complicated) manner.

## Proof of Lemma 1 (sketch)

For an  $r$ -query formula  $F$  and a positive integer  $n$ , we say “*dimension of  $F$  ( $\dim F$ ) is  $n$* ” if every query symbol in  $F$  is of the form  $\xi^n$ .

For a forcing condition  $S$ , we say “*dimension of  $S$  ( $\dim S$ ) is  $n$* ” if the domain of  $S$  is the first  $2^n$ th strings.

### Example:

- (1)  $(q_0 \Leftrightarrow \xi^2(q_1, q_2)) \Rightarrow \neg q_0$  has dimension 2.
- (2) If  $\text{dom}S = \{\lambda, 0, 1, 00\}$  then  $\dim S = 2$ .

Fix a polynomial  $p$  and a positive integer  $c$  (we assume that  $p$  and  $c$  satisfy a certain requirement). Suppose  $S$  is a forcing condition whose dimension is  $n$ .

We say “ $S$  survives at dimension  $n$ ” if  $S$  is  $r$ -Dowd with respect to  $p$  and with respect to formulas whose length  $\geq c$ .

Suppose that  $X$  is an oracle. If for every dimension  $n$ , the initial segment of  $X$  survives, then  $X$  is  $r$ -Dowd.

**Case 1,  $r = 1$ .** This special case is proved in [Suzuki 2002].

**Proposition 1** [Dowd 1992, Suzuki 2002]

*Suppose that  $n$  is a positive integer and that  $S$  is a survivor at dimension  $n$ . Let  $p$  be the conditional probability of a randomly chosen extension  $S'$  of  $S$  at dimension  $n + 1$  being a survivor at dimension  $n + 1$ . Then*

$$p \geq 1 - \frac{1}{2^{n+2}} \cdot$$

With Proposition 1, we know that there exists a binary tree such that for every  $n$ , each node  $S$  of height  $n$  is a survivor at dimension  $n$ , and each child of  $S$  is an extension of  $S$ .

The least infinite branch (its union) of the tree is a primitive recursive 1-Dowd oracle.

A given Turing degree  $\mathbf{a}$  is recursively coded by an infinite branch of the tree, and the branch is a 1-Dowd oracle. Hence, every Turing degree contains a 1-Dowd oracle. (Case 1, proved.)

**Case 2, otherwise ( $r \geq 2$ ).** In this case, we do not know whether every survivor at dimension  $n$  has surviving extensions at next stage.

**Proposition 2** [Suzuki 1999] *Suppose that  $n$  is a positive integer. Let  $\rho$  be the conditional probability of a randomly chosen forcing condition  $S$  of dimension  $n$  being a survivor at dimension  $n$ . Then*

$$\rho \geq 1 - \frac{1}{2^{n+2}} .$$

Let  $f(n) := 2^n + n + 1$ . We say “ $S$  survives at dimension  $n$  in the strong sense” if the following

(1) and (2) hold.

(1)  $S$  survives at dimension  $n$ .

(2) The probability of a randomly chosen forcing condition  $T$  (of the property (2a)) having property (2b) is high enough.

2a  $\dim T = f(n)$ , and  $T$  extends  $S$ .

2b  $n + 1 \leq \forall i \leq f(n)$ , the restriction of  $T$  at dimension  $i$  survives at dimension  $i$ .

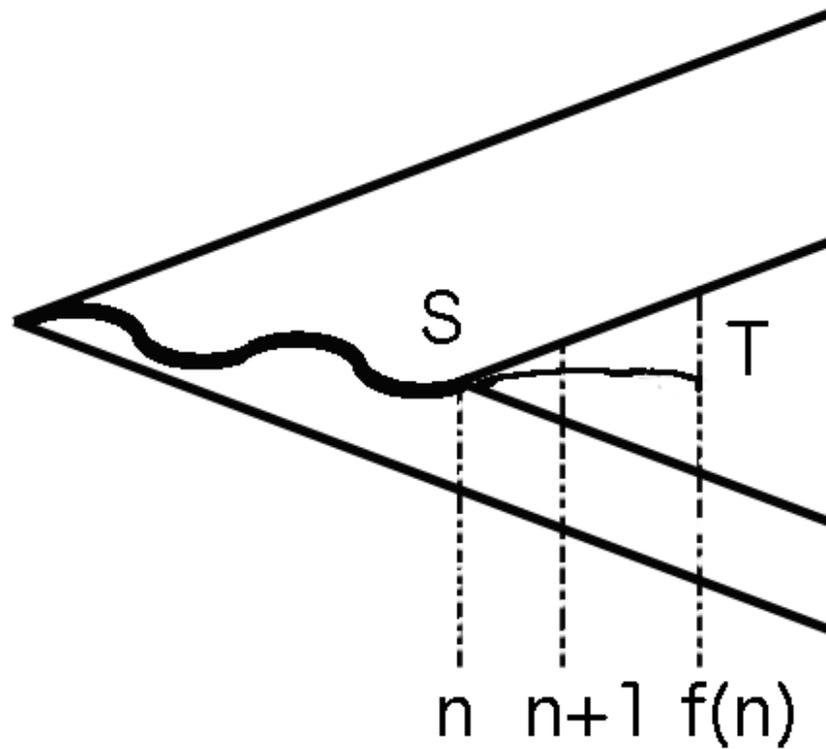


Fig.1  $S$  survives in the strong sense at dim.  $n$   
 Prob [a “far future” (i.e. at dimension  $f(n)$ )  
 descendant  $T$  of  $S$  survives] is high enough.

By means of Proposition 2, we get a result similar to Proposition 1 for “a survivor in the strong sense” in place of “a survivor”. Thus we prove Lemma 1. Q.E.D.

The result (4) is proved in a similar manner.

**The result (4):**

- *There exists a primitive recursive Dowd-type generic oracle.*
- *Every Turing degree contains a Dowd-type generic oracle.*

# 5 Main result

**Main result:** There exists a primitive recursive function  $t(n)$  such that every  $t(n)$ -random set is  $r$ -Dowd ( $r$ -generic in the sense of Dowd) for each positive integer  $r$ .

Proof (sketch): We construct a martingale that succeeds on every “non-Dowd” oracle. Suppose that a forcing condition  $S$  is given and we want to define the value  $d(S)$  of the martingale. Assume that a polynomial  $p$  is given at  $S$ .

In the two basic open sets given by  $S0$  ( $S$  concatenated by 0) and  $S1$ , we investigate **the conditional probabilities** of a randomly chosen oracle  $T$  (to be more precise, its finite initial segment is chosen) **fails to have property (2b)** in the previous sub-section with respect to  $p$ .

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**2b**  $n + 1 \leq \forall i \leq f(n)$ , the restriction of  $T$  at dimension  $i$  survives at dimension  $i$ .

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We denote them by  $\varrho(S0)$  and  $\varrho(S1)$ .

Define the martingale values  $d(S0)$ ,  $d(S1)$  as:

$$\varrho(S0)/d(S0) = \varrho(S1)/d(S1)$$

If  $\varrho(S) = 1$  (i.e., all extensions fail to have 2b) then let  $d(S0) = d(S1) = d(S)$ , and at a certain extension of  $S$ , we refresh the polynomial  $p$ .  
Under a certain assumption,

$$\varrho/d = (\text{constant})$$

during the procedure of inductive definition.

And, an inequality on a certain infinite product

$$\prod_{n=1}^{\infty} \left(1 + \frac{1}{2^n}\right) < 1 + \sqrt{2}$$

helps us to control the convergence and divergence of the ratio along a given branch. By using this ratio, we get that our martingale succeeds on every “non-Dowd” oracle.



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