# $\Sigma_{1}$ Induction and Proper d-r.e. Degrees 

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## Preliminaries

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## Definition 1

An expression $\phi$ is called a $\Sigma_{1}$ formula if it is in the form of

$$
\begin{aligned}
& \exists x_{1} \exists x_{2} \ldots \exists x_{n} Q_{1}\left(x_{n+1}<y_{1}\right) \ldots Q_{m}\left(x_{n+m}<y_{m}\right) \\
& \psi\left(x_{1}, \ldots, x_{n+m} ; y_{1}, \ldots, y_{m} ; a_{1}, \ldots, a_{k}\right)
\end{aligned}
$$

where $Q_{1}, \ldots, Q_{m}$ are either $\forall$ or $\exists$, and $\psi$ is conjunctions and disjunctions of equalities and inequalities of exponential polynomials.

## Fragments of Peano Arithmetic

Peano Arithmetic (PA)
(1) $\forall x(S(x) \neq 0)$
(2) $\forall x \forall y(S(x)=S(y) \rightarrow x=y)$
(3) $\forall x(x+0=x)$
(4) $\forall x \forall y(x+S(y)=S(x+y))$
(5) $\forall x(x \times 0=0)$
(6) $\forall x(x \times S(y)=x \times y+x)$
(7) (Induction Schema) $(\forall y<x(\phi(y)) \rightarrow \phi(x)) \rightarrow \forall x(\phi(x))$

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We use $\mathrm{P}^{-}$to denote (1)-(6) plus that exponential functions are total.

Definition $2\left(\Sigma_{1} \operatorname{Induction}\left(I \Sigma_{1}\right)\right)$
$P^{-}+$Induction Schema restricted to $\Sigma_{1}$ formulae.

## Bounding Schema

## Definition 3 (Bounding Schema)

For a formula $\phi(y, z)$,
$\forall y<x \exists z(\phi(y, z)) \rightarrow \exists b \forall y<x \exists z<b(\phi(y, z))$

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Corollary 6
There is a model of $B \Sigma_{1}+\neg / \Sigma_{1}$.

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## Corollary 6

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## REMARK

In such a model, there is a $\Sigma_{1}$ definable proper initial segment closed under successor. It is a $\Sigma_{1}$ cut $I$. There is a $\Delta_{1}$ cofinal function $f$ from the cut $I$ to the whole model.

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- A set $X$ is regular iff for every finite set $F, X \cap F$ is finite.


## Definition 8

- $A \leq_{T} B$ iff there is an r.e. set $\Phi$ such that for any finite set $F$, $\overline{F \subseteq A} \Leftrightarrow \exists P \subseteq B \exists N \subseteq \bar{B}(\langle F, 1, P, N\rangle \in \Phi)$ $F \subseteq \bar{A} \Leftrightarrow \exists P \subseteq B \exists N \subseteq \bar{B}(\langle F, 0, P, N\rangle \in \Phi)$


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- $A \equiv_{T} B$ iff $A \leq_{T} B$ and $B \leq_{T} A$


## Definition 8

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- $A \equiv_{T} B$ iff $A \leq_{T} B$ and $B \leq_{T} A$
- The Turing degree of $A: \mathbf{a}=\left\{B: B \equiv_{T} A\right\}$.


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## Definition 9

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- Turing degree a is $d$-r.e. if there is $D \in \mathbf{a}$ such that $D$ is $d$-r.e.
- Turing degree $\mathbf{a}$ is proper d-r.e. if it is d-r.e. but not r.e.


## Conclusion 10 (Mytilinaios)

If a theorem is provable using finite injury method, then it is provable in $I \Sigma_{1}$.

## Turing Degrees in models of $B \Sigma_{1}+\neg \mid \Sigma_{1}$

Theorem 11 (Slaman and Woodin)
In a model of $B \Sigma_{1}+\neg / \Sigma_{1}$, its $\Sigma_{1}$ cut is of minimal degree.

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Theorem 13 (Chong and Mourad)
In a model of $B \Sigma_{1}+\neg / \Sigma_{1}$, there are two incomparable r.e., that is, Friedberg-Muchnik Theorem holds.

## Turing Degrees in models of $B \Sigma_{1}+\neg \mid \Sigma_{1}$



## Cooper's Theorem

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Corollary 15
$I \Sigma_{1} \vdash$ there exists a proper d-r.e. degree.

## Question

Does $\mathrm{B} \Sigma_{1}+\neg \mathrm{I} \Sigma_{1} \vdash$ there exists a proper d-r.e. degree?

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Ans: Yes.

## Proper d-r.e. in $B \Sigma_{1}+\neg I \Sigma_{1}$

Theorem 16
If $M \models B \Sigma_{1}+\neg I \Sigma_{1}$, then there exists a d-r.e. set $D \not \Sigma_{T} \emptyset^{\prime}$

## Proper d-r.e. in $B \Sigma_{1}+\neg I \Sigma_{1}$

## Theorem 16

If $M \models B \Sigma_{1}+\neg I \Sigma_{1}$, then there exists a d-r.e. set $D \not \Sigma_{T} \emptyset^{\prime}$

## Theorem 17

If $M \models B \Sigma_{1}+\neg I \Sigma_{1}$, then there exists a bounded d-r.e. set $D \not \mathbb{L}_{T} \emptyset^{\prime}$

Theorem 18 (Chong and Mourad)
(Coding Lemma) If $X \subseteq I$ such that $X$ and $I-X$ are $\Sigma_{1}$, then $X$ is coded (there exists a finite set $F$ with $F \cap I=X$ ).

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$A=\left\{x<2^{a \times a}: \exists i \in I((x, i) \in \hat{H} \wedge(\forall j<i)(x, j) \notin \hat{H})\right\}$

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$D=A-B \subseteq\left[0,2^{a \times a}\right)$.

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$D=A-B \subseteq\left[0,2^{a \times a}\right)$.
Claim: $D \not \mathbb{z}_{T} \emptyset^{\prime}$.

Question
$\mathrm{B} \Sigma_{1}+\neg \mathrm{I} \Sigma_{1} \vdash$ there exists a proper d-r.e. degree below $\mathbf{0}^{\prime}$ ?

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Ans: No.

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Ans: No.
Theorem 19
$B \Sigma_{1}+\neg \Sigma_{1} \vdash$ there is no proper d-r.e. degree below $\mathbf{0}^{\prime}$

## Lemma 20

Suppose $D$ is d-r.e. and $D \leq_{T} \emptyset^{\prime}$.
(1) If $D$ is regular, then $D \equiv_{T} \emptyset$ or $D \geq_{T} I$;
(2) if $D$ is not regular, $D \geq_{T} I$.

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## Lemma 21

Suppose $D$ is $d$-r.e. and $D \leq_{T} \emptyset^{\prime}$. For every $m \in I$, there is some $b \in I$ such that

$$
\begin{aligned}
& (\forall x \in[0, f(m)])[(x \in A[f(b)]) \vee \\
& \left.\quad\left(x \in B \rightarrow \exists N \subseteq \overline{\emptyset^{\prime}}(\langle\{x\}, 0, N\rangle \in \Phi[f(b)])\right)\right]
\end{aligned}
$$

## Question

$\mathrm{B} \Sigma_{1}+\neg \mathrm{I} \Sigma_{1} \vdash$ there is a non-r.e. degree below $\mathbf{0}^{\prime}$

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Ans. No.

## Definition 22

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Theorem 23 (Slaman and Woodin)
There exists a saturated model.

## Working in saturated models

Suppose $M$ is saturated, and $D \leq_{T} \emptyset^{\prime}$ via $\Phi$.
Lemma 24
(1) If $D$ is regular, then $D \equiv_{T} \emptyset$;
(2) if $D$ is not regular, $D \geq_{T} I$.
honest, white liar and malicious liar

Pick any $i, m \in I$, let

$$
D_{i, m}=\left\{x \in[0, f(m)]: \Phi^{\emptyset^{\prime}}(x)[f(i)]=1\right\}
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(1) there is no $j>i$ such that $D_{j, m}(x) \neq D_{i, m}(x)$.

Then we say $x$ is $i$-honest.

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(2) there is some $j>i$ such that $D_{j, m}(x) \neq D_{i, m}(x)$, but still $D_{i, m}(x)=D(x)$
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Then we say $x$ is a $i$-white liar.
(3) there is some $j>i$ such that $D_{j, m}(x) \neq D_{i, m}(x)$, and $D_{i, m}(x) \neq D(x)$.
Then we say $x$ is a $i$-malicious liar for stage $i$.

## Lemma 25

If $D \leq_{T} \emptyset^{\prime}$ then
for each $i \in I$ there is some $j \in I$ such that all $i$-white liars are found.

## Tier Program II.

For each $m \in \omega$, we inductively construct a sequence of pairs $\left\{i_{n}^{m}\right\}_{n \in \omega} \subset \omega$ such that
(i) $i_{0}^{m}=0$ and
(ii) for any $n, i_{n+1}^{m}$ is the least stage $j$ when all $i_{n}^{m}$-white liars in [ $0, f(m)$ ] are found.

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We may separate $[0, f(m)]$ into $n(m)+1$ parts:

$$
K_{i}^{m}=\left\{x \in[0, f(m)]: g^{m}(x)=i\right\}
$$

for each $i \leq n(m)$, where $g^{m}:[0, f(m)] \rightarrow \omega$,

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x \mapsto \mu n\left(\forall k \in\left(i_{n}^{m}, i_{n+1}^{m}\right]\right)\left(D_{i, m}(x)=D_{k, m}(x)\right)
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x \mapsto \mu n\left(\forall k \in\left(i_{n}^{m}, i_{n+1}^{m}\right]\right)\left(D_{i, m}(x)=D_{k, m}(x)\right)
$$

On $K_{i}^{m}$, enumerate $f(i)$-malicious liars, which determine $D \upharpoonright K_{i}^{m}$.

## Reference

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Thank you!

